Cataloging in Publication: Moving Beyond the Print

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PTPL 90th Annual Meeting Annapolis, Md. October 17, 2014

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Cataloging in Publication: Moving Beyond the Print

Caroline Saccucci

Acting CIP Program Manager and Dewey Program Manager Camilla Williams

CIP Program Specialist

CIP E-books Program Background

Why CIP data for E-books?

- Service to libraries
- Service to publishers
- Records for print books used to create records for ebooks



Record creation

- Provider-Neutral E-Monograph MARC Record Guide
 - Record based on info from original publisher
- E-book CIP data application form
 - Works with e-book record program
- Program adds variable and standard data elements to identify item as an e-book



Pilot publishers

- University Press of Mississippi
- Wiley-Blackwell, including Jossey-Bass
- World Bank



Publisher requirements

Thank you for applying to participate in the ECIP Ebook Program. You will receive a confirmation email message shortly, with instructions on the application process and instructions on submitting ebooks to the Library of Congress.

Application to Participate (Ebooks)

Ebook Program Requirements

Publishers participating in the CIP Ebook Program are obligated to:

Simultaneously publish print and electronic books

BRARY OF CONGRESS

- · Apply for CIP data for the print version of a title before applying for CIP data for the electronic version
- · Apply for CIP data for the electronic version within ten business days of applying for the print version
- Include unaltered CIP data on the copyright page of both versions
- · Certify that your publishing house markets ebooks to libraries
- Send a complimentary copy of the ebook to the Library of Congress in addition to a complimentary copy of the print book. The
 ebook must be an original format issued by the publisher for reading and must be free of digital rights management.

Failure to comply with any of these program requirements may result in the suspension or removal of your ECIP Ebook account.

Main Menu Accept

E-books pilot launch

- Participating publishers agree to requirements
- Access to e-book application provided to participants on Oct. 11, 2011



CIP E-books Program

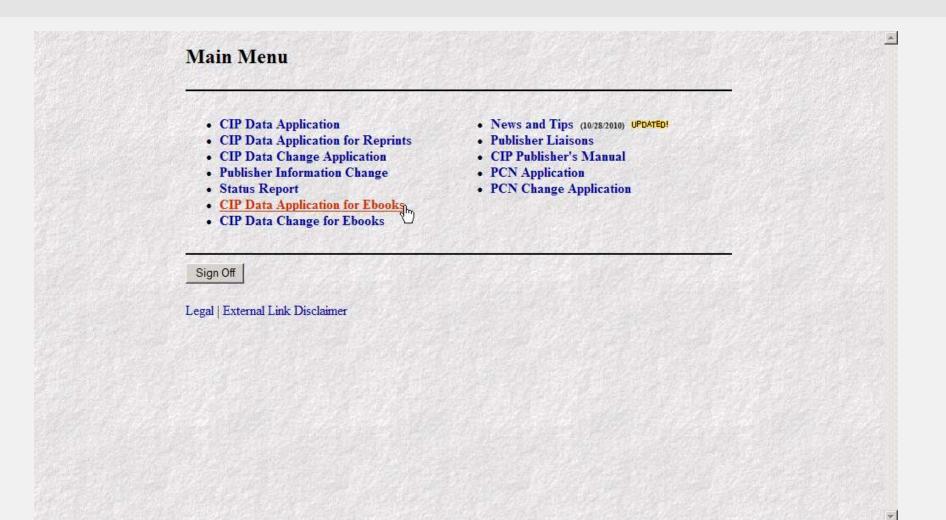
Metadata Workflow

Complete CIP application for print edition

| Title Page Information Data entered in these blocks must match the title page exactly: | B 23 |
|--|-------------|
| Data entered in these blocks must match the title page exactly: | |
| | |
| Title: City Son | * |
| Subtitle: Andrew W. Cooper's Impact on Modern-day Brooklyn | |
| Edition: | |
| Publisher: University Press of Mississippi | * |
| U.S. City: Jackson | * |
| Author Information | |



Return to main menu





Select title

CIP Data Application for Ebooks

Below is a list of CIP data applications your firm has submitted recently. To apply for the CIP data of an Ebook, please click on the selected title and then fill out the application form.

| Title | ISBN | Date Submitted |
|-----------------------|---------------|----------------|
| City Son | 9781617032585 | 21 DEC 2011 |
| Hard Times | 9780752459905 | 21 DEC 2011 |
| Mommy's Little Helper | 9781426708794 | 21 DEC 2011 |





E-book application

| | | ion |
|--------|---|---|
| | City Son Andrew W. Coop N: 9781617032585 J publication date: | per's Impact on Modern-day Brooklyn Jun 2012 |
| - | Ns for this title 617032592 | Qualifiers, i.e. epub, pdf |
| Series | Information | |



Traffic manager/record creation

- Unique LCCN assigned to the e-book application
- Program within the Traffic Manager collects LCCNs and data elements
- Sent outside the Traffic Manager to the record creation program
- Record creation program accesses catalog record for print version of title
- Adds LCCN assigned by the Traffic Manager
- Adds data elements for e-book
 - Standard data elements, such as "1 online resource" in physical description field
 - Variable data fields, such as ISBNs for the e-book

Print version record

| Ŋ Ta | g I | 1 12 | Subfield Data |
|------|-----|------|---|
| 01 | 0 | | ‡a 2013002467 |
| 02 | 0 | | ‡a 9781575379968 (library binding : alk. paper) |
| 02 | 0 | | ‡a 9781575379715 (pbk. : alk. paper) |
| 04 | 0 | | ‡a DLC ‡b eng ‡c DLC ‡e rda |
| 043 | 2 | | ‡a lcac ‡a pcc |
| 05 | 0 | 0 0 | ‡a PZ7.S696235 ‡b Ug 2014 |
| 08 | 2 | 0 0 | ‡a [E] ‡2 23 |
| 10 | 0 | 1 | ‡a Sommer, Carl, ‡d 1930- |
| 24 | 5 | 1 4 | ‡a The ugly caterpillar / ‡c by Carl Sommer ; illustrated by Greg Budwine. |
| 25 | 0 | | ‡a First edition. |
| 26 | 3 | | ‡a 1403 |
| 26 | 4 | 1 | ‡a Houston : ‡b Advance Publishing, Inc., ‡c 2014. |
| 30 | 0 | | ‡a pages cm. |
| 33 | 6 | | ‡a text ‡2 rdacontent |
| 33 | 6 | | ‡a still image ‡2 rdacontent |
| 33 | 7 | | ‡a unmediated ‡2 rdamedia |
| 33 | 8 | | ‡a volume ‡2 rdacarrier |
| 49 | 0 | 0 | ‡a Another Sommer-time story |
| 50 | 0 | | ‡a "Revised edition originally published in 2000"Title page verso. |
| 52 | 0 | | ‡a "Speckles and Crumbs think Katy the caterpillar is too ugly to be their friend, but not Annie. Katy is transformed into a beautiful butterfly. Speckles and Crumbs finally learn about true beauty and friendship" ‡c Provided by publisher. |
| 65 | 0 | 1 | ‡a Insects ‡v Fiction. |
| 65 | 0 | 1 | ‡a Beauty, Personal ‡v Fiction. |
| 65 | 0 | 1 | ‡a Conduct of life ‡v Fiction. |
| 70 | 0 | 1 | ‡a Budwine, Greg, ‡e illustrator. |
| 77 | 6 | 8 0 | ‡i Online version: ‡a Sommer, Carl, 1930- ‡t Ugly caterpillar ‡b First edition. ‡d Houston : Advance Publishing, Inc., 2014 ‡z 9781575374215 ‡w (DLC) 2013002570 |
| 96 | 3 | | ‡a John Sommer; phone: 713-695-0600; fax: 713-695-8585; email: johnsommer@advancepublishing.com; bc: johnsommer@advancepublishing.com |

E-book version record, pt. 1

| MARC S | System History | |
|----------------|--|---|
| | Suppress from OPAC | |
| <u>L</u> eader | 01923cam a22004578i 4500 00 <u>6</u> m: o d | • |
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|---|-----|----|----|--|
| | Tag | 11 | 12 | Subfield Data |
| | 020 | | | ‡a 9781575374710 (kf8) |
| | 020 | | | ‡a 9781575370408 (pdf) |
| | 020 | | | ‡z 9781575379968 (library binding : alk. paper) |
| | 040 | | | ‡a DLC ‡b eng ‡c DLC ‡e rda ‡d DLC |
| | 042 | | | ‡a lcac ‡a pcc |
| | 050 | 0 | 0 | ‡a PZ7.S696235 |
| | 082 | 0 | 0 | ‡a [E] ‡2 23 |
| | 100 | 1 | | ‡a Sommer, Carl, ‡d 1930- |
| | 245 | 1 | 4 | ‡a The ugly caterpillar / ‡c by Carl Sommer ; illustrated by Greg Budwine. |
| | 250 | | | ‡a First edition. |
| → | 263 | | | ‡a 1403 |
| | 264 | | 1 | ‡a Houston : ‡b Advance Publishing, Inc., ‡c 2014. |
| | 300 | | | ‡a 1 online resource. |



E-book version record, pt. 2

| <u> </u> | 1 | | |
|-------------|-----|-----|---|
| | 336 | | ‡a text ‡2 rdacontent |
| > | 336 | | ‡a still image ‡2 rdacontent |
| | 337 | | ‡a computer ‡2 rdamedia |
| | 338 | | ‡a online resource ‡2 rdacarrier |
| | 490 | 0 | ‡a Another Sommer-time story |
| | 500 | | ‡a "Revised edition originally published in 2000"Title page verso. |
| | 520 | | ‡a "Speckles and Crumbs think Katy the caterpillar is too ugly to be their friend, but not Annie. Katy is transformed into a beautiful butterfly. Speckles and Crumbs finally learn |
| | | | about true beauty and friendship" ‡c Provided by publisher. |
| | 588 | | ‡a Description based on print version record and CIP data provided by publisher; resource not viewed. |
| | 650 | 1 | ‡a Insects ‡v Fiction. |
| | 650 | 1 | ‡a Beauty, Personal ‡v Fiction. |
| | 650 | 1 | ‡a Conduct of life ‡v Fiction. |
| | 700 | 1 | ‡a Budwine, Greg, ‡e illustrator. |
| | 776 | 0 8 | ‡i Print version: ‡a Sommer, Carl, 1930- ‡t Ugly caterpillar ‡b First edition. ‡d Houston : Advance Publishing, Inc., 2014 ‡z 9781575379968 ‡w (DLC) 2013002467 |



CIP data for e-books

Library of Congress Cataloging-in-Publication Data

Sommer, Carl, 1930-

The ugly caterpillar / by Carl Sommer ; illustrated by Greg Budwine. -- First edition.

1 online resource. -- (Another Sommer-time story)

"Revised edition originally published in 2000"--Title page verso.

Summary: "Speckles and Crumbs think Katy the caterpillar is too ugly to be their friend, but not Annie. Katy is transformed into a beautiful butterfly. Speckles and Crumbs finally learn about true beauty and friendship"-- Provided by publisher.

Description based on print version record and CIP data provided by publisher; resource not viewed.

ISBN 978-1-57537-421-5 (epub) -- ISBN 978-1-57537-471-0 (kf8) -- ISBN 978-1-57537-040-8 (pdf) -- ISBN 978-1-57537-996-8 (print)

[1. Insects--Fiction. 2. Beauty, Personal--Fiction. 3. Conduct of life--Fiction.] I. Budwine, Greg, illustrator. II. Title.

PZ7.S696235

[E]--dc23

2013002570



MARC records during the pilot

- Review records during pilot phase
- Identify and correct errors (e.g., 776 field)
- Send CIP data to publishers via email
- Distribute records to OCLC and other MARC customers
- Suppress from the LC OPAC



Metadata in Production

- Moved pilot into production in August 2012
- Announced to community/solicit more publishers to join
- E-book publishers as of FY2014: 143
- E-book metadata as of FY2014: 5,092
- Began transfer of published e-books to LC
- Met with internal technical e-books group to discuss receipt, storage, and availability of e-books to internal users at LC

CIP E-books Program Receiving and Processing

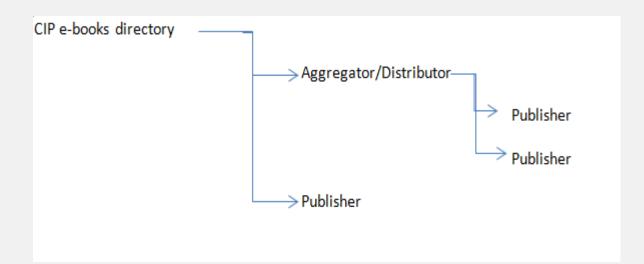
Technical issues

- Ingestion process
 - Information Technology Services (ITS)
 - Repository Development Center (RDC)
- Pilot publishers
 - University Press of Mississippi
 - Wiley-Blackwell, including Jossey-Bass
 - World Bank



Establishing publisher accounts

- SFTP
- Development of publisher account forms
 - Contact information
 - IP address
- Content provider vs. content sender
- File paths and directories





Repository Services

- Content transfer
- Web-based in-house developed software
- Moves files from various locations to long-term storage
- Used by many projects in LC
- Modified to be used by the CIP E-books Program

| LIBRA | | | |
|------------|--------|-------------|------|
| Repositor | ry Ser | vices Lo | ogin |
| Username | - i i | Password | |
| Remember m | ie | 0.000000000 | |



E-book Processing

CIP E-book check-in manager

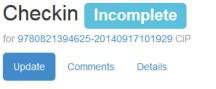
| Home Start - | Console | Inventory | Reports | Service Requests | Tasks | Workflows | Support 👻 |
|--------------|---------|-----------|---------|------------------|-------|-----------|-----------|
|--------------|---------|-----------|---------|------------------|-------|-----------|-----------|

Unassigned Tasks 10 of 57

| Bag | Task | Create date | Assignment |
|-------------------------------------|---------|------------------------|---------------------|
| 9781118939000-20140730095937 CIP | Checkin | 2014-07-31 10:38:26 AM | cip-checkin-manager |
| 9781118910894-20140730095937 CIP | Checkin | 2014-07-31 11:48:28 AM | cip-checkin-manager |
| 9781118829615-20140730095937 CIP | Checkin | 2014-08-13 04:30:04 PM | cip-checkin-manager |
| 9781118651919-20140730095937 CIP | Checkin | 2014-08-13 04:30:04 PM | cip-checkin-manager |
| 9781118598337-20140730095937 CIP | Checkin | 2014-08-13 04:32:19 PM | cip-checkin-manager |
| 9781118604700-20140730095937 CIP | Checkin | 2014-08-13 04:33:04 PM | cip-checkin-manager |
| 9781118642160-20140730095937 CIP | Checkin | 2014-08-13 04:35:19 PM | cip-checkin-manager |
| 9781118877364-20140730095937 CIP | Checkin | 2014-08-27 04:50:31 PM | cip-checkin-manager |
| 9781118328613-20140730095937 CIP | Checkin | 2014-08-27 04:51:16 PM | cip-checkin-manager |
| 101_Q_A_Crusades-20140903152012 CIP | Checkin | 2014-09-04 03:35:18 PM | cip-checkin-manager |



Check-in page



Instructions

CIP Checkin Manager

- 1. Select name from drop down menu.
- 2. Click on "Reassign".

CIP Check-in Staff

- 1. Click on "View E-book".
- 2. Please browse the delivery to identify the epub file and compare that LCCN and title match the e-book record in Voyager. Update any information on Voyager record, if needed.
- 3. View book cover. Ensure that the cover image is viewable.
- 4. Scroll through e-book to ensure that the book is complete and all pages are viewable.
- 5. If any problems, please either click arrow beside "accept conditions" select reason or click arrow beside 'reject reasons' and select reason. Please notify publisher.
- 6. When everything is complete:
 - Scroll down to select either "accept" (if everything matches) or "accept with conditions" to accept e-book. Or,
 - Scroll down to select "reject" to reject e-book.
- 7. Click "Continue."

Task Information

 ebook filepath
 data/9780821394625.epub

 service provider
 Code Mantra

 publisher
 World Bank

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Viewing the e-book

Internal web viewer

DIRECTIONS IN DEVELOPMENT Human Development

Working through the Crisis

Jobs and Policies in Developing Countries during the Great Recession

Arup Banerji, David Newhouse, Pierella Paci, and David Robalino, Editors



THE WORLD BANK Washington, D.C.



Cataloging in Publication: Moving Beyond the Print

Navigating the e-book

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| Title Page | - |
|---|----|
| Front Cover | -1 |
| Title Page | |
| Copyright Page | |
| Contents | = |
| Acknowledgments | |
| Abbreviations | |
| Chapter 1 Overview | |
| Introduction | |
| Impacts | |
| Policies | |
| Recovery | |
| Looking Forward | |
| Annex 1A | |
| Notes | |
| References | |
| Chapter 2 Fewer Jobs or Smaller Paychecks? Aggregate Crisis Impacts in Selected Middle-Income Countries | |
| The Disconnect between the Impact of the Crisis and the Policy Responses | |
| Data and Methodology | |
| The Extent and Nature of the Labor Market Adjustment | |
| In Which Countries Were Adjustments Unexpectedly Large? | - |

Viewing the CIP data block

ISBN (paper): 978-0-8213-8967-6 ISBN (electronic): 978-0-8213-9462-5 DOI: 10.1596/978-0-8213-8967-6

Cover photo: James Oatway / Panos Pictures. Used with permission; further permission required for reuse. Cover design: Naylor Design.

Library of Congress Cataloging-in-Publication Data

Working through the crisis : jobs and policies in developing countries during the great recession / editors, Arup Banerji, David Newhouse,

Pierella Paci, and David Robalino. pages cm. — (Directions in development) Includes bibliographical references.
ISBN 978-0-8213-8967-6 (alk. paper) -- ISBN 978-0-8213-9462-5

Labor supply—Developing countries.
Income distribution—Developing countries.
Developing countries—Social policy.

Global Financial Crisis, 2008-2009. I. Banerji, Arup, editor of compilation. HD5706.W675 2013

2013033568



Cataloging in Publication: Moving Beyond the Print

Check-in page

| Assign | | | | |
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| Camilla Williams | | Ŧ | Reassign | |
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| Support | | | | |
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| lccn | 2013034999 | | | |
| volume | | | | |
| handleSuffix | cip.2013034999 | | | |
| title | Working through the crisis : jobs and policies in developing countries during the great recession | | | |
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| Workflow comment | | | | |
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Reject

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Ingest in Production

- Moved pilot into production in July 2014
- Added 10 publishers
 - Advance
 - Common Ground
 - John Benjamins
 - New York Review Books
 - Oxbow
 - Pauline Books and Media
 - Paulist Press
 - University Press of Mississippi
 - Wiley-Blackwell
 - World Bank
- Accepts epub and pdf formats
- Received 67 e-books
- The Ugly Caterpillar

The CIP E-books Program Next Steps

Next Steps

- Include more publishers and content
- Develop a composite CIP data block
- Streamline the application process
- Include backlisted and born-digital e-book titles
- Provide access to the e-books to readers



Thank You!



http://www.loc.gov/publish/cip/ebooks Caroline Saccucci csus@loc.gov Camilla Williams cewi@loc.gov